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12-18-0

A/BOX SOC

PTO/SB/05 (03-01)
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## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.		LEX-0282-USA				
First Inventor		Walke, et al.				
Title	Novel Human Alpha Macroglobulin Family Proteins and Polynucleotides Encoding the Same					
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(Only for new nonprovisional applications und 1.53 (b))		xpress Mail Label No.	EV 01707	5762 US		
	-	ADDRESS TO:	Box Patent Appl			
APPLICATION ELEMENTS  See MPEP chapter 600 concerning utility patent application  1.						
6. Application Data Sheet. See 37 CFR 1.7	17 Other:					
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) of prior application No.:  Prior application information: Examiner For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.						
The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS						
X Customer Number or Bar Code Label or Correspondence address below (Insert Casterney No. or Attach bar code label here)						
Name PAT	ENT TRADEMARK OFFIC	OE				
Address	<del></del>		<del> </del>	<u> </u>		
City	State		Zip			
Country	Telephon		Fax	<u> </u>		
Name (Print/Type) Lance K. Ishimoto		Registration No. (Atto	rney/Agent)	41,866		
Signature	NO W HOLE	RERNHICH	Date	December 14, 2001		

PTO/SB/17 (11-00)
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## **FEE TRANSMITTAL** for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known				
Application Number	Not Yet Assigned			
Filing Date	December 14, 2001			
First Named Inventor	Walke et al.			
Examiner Name	Not Yet Assigned			
Group Art Unit	Not Yet Assigned			
Attorney Docket No.	LEX-0282-USA			

METHOD OF PAYMENT		FEE CALCULATION (continued)					
The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to.		DITIOI _arge =ntity		EES Small Entity			
Deposit Account Number 50-0892	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Deposit Account Name	105	130	205	65	Surcharge - late fling fee or oath		
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127	50	227	25	Surcharge - late provisional filing fee or cover sheet		
Applicant claims small entity status. See 37 CFR 1.27	139	130	139	130	Non-English specification		
2. Payment Enclosed:		2,520	147	2,520	For filing a request for ex parte reexamination		
Check Credit card Money Order Other	112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
FEE CALCULATION	113	1,840*	113	1,840*	Requesting publication of SIR after		
1. BASIC FILING FEE	115	110	215	55	Extension for reply within first month		
Large Small Entity	116	400	216	200	Extension for reply within second month		
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117	920	217	460	Extension for reply within third month		
101 740 201 370 Utility filing fee 370.00	118	1,440	218	720	Extension for reply within fourth month		
106 330 206 165 Design filing fee	128	1,960	228	980	Extension for reply within fifth month		
107 510 207 255 Plant filing fee	119	320	219	160	Notice of Appeal		
108 740 208 370 Reissue filing fee	120	320	220	160	Filing a brief in support of an appeal		
114 160 214 80 Provisional filing fee	121	280	221	140	Request for oral hearing		
	138	1,510	138	1,510	Petition to institute a public use proceeding		
SUBTOTAL (1) (\$)370.00	140	110	240	55	Petition to revive - unavoidable		
2. EXTRA CLAIM FEES	141	1,280	241	640	Petition to revive - unintentional		
Fee from Extra Claims below Fee Paid	142	1,280	242	640	Utility issue fee (or reissue)		
Total Claims -20**= X =	143	460	243	230	Design issue fee		
Independen .3**= X =	144	620	244	310	Plant issue fee		
Multiple Dependent =	122	130	122	130	Petitions to the Commissioner		
Large Entity Small Entity	123	50	123	50	Processing fee under 37 CFR 1.17(q)		
Fee Fee Fee Fee Code (\$) Code (\$)	126	180	126	180	Submission of Information Disclosure Stmt		
103 18 203 9 Claims in excess of 20	581	40	581	40	Decording each patent assignment per		
102 84 202 42 Independent claims in excess of 3	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		
104 280 204 140 Multiple dependent claim, if not paid	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		
109 84 209 42 **Reissue independent claims over original patent	179	740	279	370	Request for Continued Examination (RCE)		
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	169	900	169	900	Request for expedited examination of a design application		
SUBTOTAL (2) (\$)	Other	ee (spe	cify)			<u></u>	
**or number previously paid, if greater; For Reissues, see above	SUBTC	ed by B	asic Filir <b>3)</b>	ng Fee P	(\$)		

SUBMITTED BY				Complete (if applica	ble)
Name (Print/Type)	Lance K. Ishimoto	Registration No. (Attorney:Agent)	41,866	Telephone	281-863-3333
Signature	Kome K. Lohemorte by die ond n		N. HIBSER	Date	December 14, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization of PTO-2038.

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PTO/SB/35 (11-00)

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## NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor		Walke, et al.		
Title	Novel Human Alpha Macroglobulin Family Proteins and Polynucleotides Encoding the			
Atty Docket Number		LEX-0282-USA		

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

12-14-61 Date

Signature DAVID W HACER

Lance K. Ishimoto Reg No 41,866 Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.